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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

Application Number	09/975,457
Filing Date	October 11, 2001
First Named Inventor	Gordon T. Brown
Art Unit	2161
Examiner Name	Edward R. Cosimano

Attorney Docket Number 47781-7

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> ( <i>if known</i> )			
DR		US- 3,985,998	10-12-1976	Crafton	
		US- 4,321,672	03-23-1982	Braun et al.	
		US- 4,341,951	07-27-1982	Benton	
		US- 4,536,647	08-20-1985	Atalla et al.	
		US- 4,857,714	08-15-1989	Sunyich	
		US- 4,958,368	09-18-1990	Parker	
		US- 4,989,141	01-29-1991	Lyons et al.	
		US- 5,056,019	10-08-1991	Schultz et al.	
		US- 5,206,803	04-27-1993	Vitagliano et al.	
		US- 5,237,159	08-17-1993	Stephens et al.	
		US- 5,265,007	11-23-1993	Barnhard, Jr. et al.	
		US- 5,412,190	05-02-1995	Josephson et al.	
		US- 5,420,405	05-30-1995	Chasek	
		US- 5,471,669	11-28-1995	Lidman	
		US- 5,649,117	07-15-1997	Landry	
		US- 5,930,778	07-27-1999	Geer	
		US- 5,946,669	08-31-1999	Polk	
DR		US- 6,226,624	05-01-2001	Watson et al.	
DR		US- 6,283,761	09-04-2001	Joao	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )				
DR		WO 99/27477	06-03-1999	The Depository		
				Trust Company		

Examiner Signature

/Dennis Ruhl/

Date Considered

11-15-06

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 2 of 2

Complete if Known	
Application Number	09/975,457
Filing Date	October 11, 2001
First Named Inventor	Gordon T. Brown
Art Unit	2161
Examiner Name	Edward R. Cosimano
Attorney Docket Number	47781-7

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature	/Dennis Ruhl/	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
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				Filing Date	October 11, 2001
				First Named Inventor	Gordon T. Brown
				Art Unit	2161
				Examiner Name	Edward R. Cosimano
Sheet	1	of	1	Attorney Docket Number	47781-7

## NON-PATENT LITERATURE DOCUMENTS

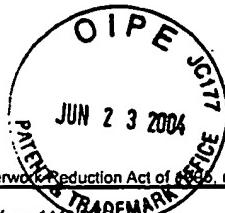
Examiner Signature	/Dennis Ruhl/	Date Considered	
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**1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.**

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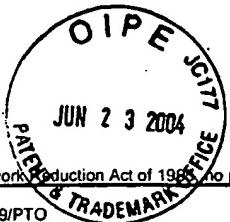
Substitute for form 1449 <b>SUPPLEMENTAL</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	09/975,457
		Filing Date	10/11/2001
		First Named Inventor	Gordon T. Brown
		Art Unit	2161
		Examiner Name	Edward R. Cosimano
		Attorney Docket Number	47781-7
Sheet	1	of	1

Examiner Signature	/Dennis Ruhl/	Date Considered	11-15-06
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**SUPPLEMENTAL****INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

		<b>Application Number</b>	09/975,457
		<b>Filing Date</b>	10/11/2001
		<b>First Named Inventor</b>	Gordon T. Brown
		<b>Art Unit</b>	2161
		<b>Examiner Name</b>	Edward R. Cosimano
Sheet	1	of	1
		<b>Attorney Docket Number</b>	47781-7

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
DR		CUSHING ET AL., Accounting Information Systems, A Comprehensive Approach, Fifth Edition, pp 3-33, 37-51, 60-83, 130-131, 266-267, 280-281, 425, 428-430, G-28, Addison-Wesley Publishing Co., Inc.	
		1990.	

Examiner Signature	/Dennis Ruhl/	Date Considered	
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